## **Original** Article

# Mortality of Elderly Patients Supported by Mechanical Ventilation at a General Critical Care Unit in a Tertiary Care Centre

### Anupam Mandal<sup>1</sup>, Supratick Chakraborty<sup>2</sup>, Biswajit Ghosh<sup>3</sup>, Nandini Chatterjee<sup>4</sup>

**Background**: There has been a steady rise in the geriatric population in India and increasing number of elderly patients are being admitted in Critical Care Unit (CCU). They need mechanical ventilation during their hospital stay. Hence, there is continued need for evaluation and research to develop a validating scoring systems used to predict the outcome of CCU patients supported by mechanical ventilation.

**Objective :** Analysis to predict the outcome (survival or mortality) of mechanically ventilated elderly patients in different age groups at the CCU.

**Material and Method :** A Prospective observational study was done in CCU for a period of one year. A group of 40 elderly ventilated patients greater than 60 years of age (Group 1-elderly case group) and another group of 40 ventilated patients less than 60 years of age (Group-2- control group) were included in the study. A clinical database was collected which included age, sex, Acute Physiology and Chronic health Evaluation II (APACHE II) score and an Sequential Organ Failure Assessment (SOFA) scores were calculated in the first 24 hours of ventilation, indication of mechanical ventilation, co-morbidity, according to the Charlson Comorbidity Index (CCI), functional capacity according to the Barthel Index (BI). Patients outcome (survival or mortality) were analyzed. All the patients in two groups were on ventilation support.

**Result :** In case group (n=40), mortality was 55%. In control group (n=40), mortality was 52.5%. On comparison of outcome between two groups (case with control group) the difference was not statistically significant (p= 0.8225). In case group, association of outcome to different age groups (60-65 years, 66-75 years, more than75 years) (p=0.3357) andto gender (p=0.3854) was not statistically significant.

Multivariate logistic regression analysis of the study variables showed APACHE II score to be statistically significant for outcome (p=0.0229).

**Conclusion :** Mortality of elderly patients supported by mechanical ventilation at CCU were slightly higher(55%) than in mechanically ventilated younger populations (52.5%) though the difference was not statistically significant between two groups (p=0.82). APACHE II, score measured within 24 hours of ventilation was a significant predictor of mortality in the patients on mechanical ventilation.

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#### Key words : Mechanical ventilation, Elderly, Critical care unit outcome, APACHE II Score.

There has been a steady rise in the population of elderly persons in India with 8.6% of population above the age of 60 years as per 2011 census which is projected to go over 10% by 2020<sup>1</sup>.

In this context, the hospital admission rate and demand for critical patient beds are expected to increase exponentially in the coming decade. Older age is characterized by emergence of several complex health states and there is a tendency to restrict their

<sup>4</sup>MD (General Medicine), Professor

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#### Editor's Comment :

Judicious use of mechanical ventilators in the elderly patients to be considered in the context of individualised risk-benefit ratio in a tertiary care centre.

admission to the Critical Care Unit (CCU). Present study compared the mortality outcome of elderly patients with younger individuals in a cohort of critical patients subjected to mechanical ventilation. This study prospectively compared the several variables on the patients requiring mechanical ventilation in CCU, to predict outcome.

**Study period** : A prospective observational study was done over a period of one year (October 2015 to September, 2016) in the Critical Care Unit of a tertiary care hospital.

**Study population** : The case group comprised 40 ventilated patients of age 60 years and above and control of 40 ventilated adult patients less than 60 years

Department of General Medicine, IPGME&R and SSKM Hospital, Kolkata 700020

<sup>&</sup>lt;sup>1</sup>MD (General Medicine), Associate Professor and Corresponding Author

<sup>&</sup>lt;sup>2</sup>MD (General Medicine), Associate Professor, Department of General Medicine, Murshidabad Medical College and Hospital, Berhampore 742101

<sup>&</sup>lt;sup>3</sup>MD (General Medicine), Ex-postgraduate Student

of age, were included in the study.

**Sample size calculation :** Sample size was calculated by using the formula  $n=z_{(1-\alpha/2)}^{2}$ pq/d<sup>2</sup>.

 $z_{(1-\alpha/2)} = 1.96$ =value of the standard normal distribution corresponding to a significance level of á (1.96 for a 2-sided test at the 0.05 level). p= expected proportion of mortality from literature 50% or (0.5). q=1-p. d= absolute precision= 0.2(result to be with in 20% of true value).

Taking into account confounding factors for each variable, the sample size was increased by 10% of calculated value and we have taken 40 patients in each groups.

Study variables, inclusion criteria, exclusion criteria, informed consent and ethics committee:

Acute Physiology and Chronic Health Evaluation II (APACHE II)<sup>2</sup> score and an Sequential Organ Failure Assessment (SOFA)<sup>3</sup> scores were measured in the first 24h of ventilation, age, gender, indication of mechanical ventilation, co-morbidity according to the Charlson Index (CCI)<sup>4</sup>, functional capacity according to the Barthel index (BI)<sup>5</sup> were documented.

Those patients who died within 24 hours of ventilation were excluded from the study.

Informed consent from relative of patient was taken to include the patient in the study. The study was approved by the Clinical Research Ethics Committee of the Institution. The indications of mechanical ventilation were recorded based on the criteria of the Mechanical Ventilation International Study Group<sup>6</sup> Table 1).

#### MATERIAL AND METHOD

Detailed history and clinical examination of admitted patients were done. The criterion for CCU admission was decided by the admitting primary physician, based on the clinical condition of the patients. Patients from all specialties were admitted. No patients were refused admission in CCU based on the age. No treatment options were restricted to a

Table 1 — Distribution of indication of ventilation in casesgroup (n=40)						
Indication	Frequency	y Percent				
Exacerbation of chronic						
respiratory disease	5	12.5%				
Coma (Glasgow Coma Scale 8/15 or les	ss) 9	22.5%				
Acute lung injury	1	2.5%				
Cardiac arrest	1	2.5%				
Heart failure	5	12.5%				
Multi organ failure	2	5%				
Pneumonia	7	17.5%				
Post operative	2	5%				
Sepsis	8	20%				
Total	40	100%				

specific group of patients during CCU stay.

Indication for which ventilation was initiated was noted. In this study, among case (n=40) and control group (n=40), consecutive patients were evaluated when they were ventilated. The records of parameters were taken within 24 hours of ventilation and subsequently daily for one week or until discharge or death, in all patients. Data was recorded which included age, sex, admitting diagnosis, APACHE II (acute physiology and chronic health evaluation) Score, Barthel Index (BI) Score, Charlson Comorbidity Index (CCI) Score, and Sequential Organ Failure Assessment (SOFA) Score, ABG (Arterial Blood Gas), Pulse rate, Blood Pressure, Temperature in Fahrenheit, Fractional inspiratory O<sub>2</sub> concentration, Liver function test, Serum creatinine, Urea or BUN, urine output, Ventilatory rate. Complete Blood Count, and Serum Na, K.

No patients were re-intubated in this study among case and control group patients. The final outcome either survival or death was analyzed.

#### RESULTS

**Demographic Details** : In case group, the mean age (Mean  $\pm$  SD) of patients were 71.1250 $\pm$ 8.0166 years with range 60.00 - 92.00 years. Number of patients in case group, in 60 years to 65 years was 37.5%, in 66-75 years was 35.0%, and more than 75 years was 27.5%. In control group, the mean age (Mean $\pm$ SD) of patients were 41.6750 $\pm$ 12.0711 years with range 20.00 - 57.00 years (Fig 1).

Among 40 cases (n=40), 23 patients were male and 17 patients were female. Among 40 control patients, 22 patients were male and 18 patients were female (Fig 2).

#### Study variable findings

In case group,Barthel Index (BI) score mean  $\pm$  SD was 12.0000 $\pm$  13.0973 and in control group mean  $\pm$  SD was 10.8750  $\pm$ 12.5006 respectively. Difference of mean BI between two groups was not statistically significant (p=0.6954).

In case group, Sequential organ failure assessment (SOFA) score mean  $\pm$  SD was 8.9250  $\pm$  3.0834 and in control group, mean  $\pm$  SD was 9.4250  $\pm$  3.3350 respectively. Difference of mean SOFA between two groups was not statistically significant. (p=0.4884).

In case group, Charlson Co-morbidity Index Score (CCI) mean  $\pm$  SD was 4.9750  $\pm$  1.8326 with range of 1.0000- 9.0000 and in control group it was 1.9250  $\pm$  3.4744 with a range of 0.0000-21.0000 respectively. The difference of mean CCI between two groups were statistically significant (p<0.0001).



Fig 1 — Number of patients in control group(<60yrs) and case group(>60yrs) and different age groups

In case group, APACHE II score mean  $\pm$ SD was 23.7500  $\pm$  6.3559 with range of 10.0000 - 41.0000 and In control group, it was Mean  $\pm$  SD = 23.6250 $\pm$  6.8713 with rang of 11.0000- 42.0000. Difference of mean between two groups was not statistically significant (p=0.9329).

Outcome in case group (n=40), mortality was 55%. In 60-65 years, mortality was 27.3%. In 66-75 years, mortality was 40.9%. In >75 years, mortality was 31.8%. Association of sub-groups of age with outcome in case group was not statistically significant (p=0.3357).

Association of gender (male mortality was 60.9%. female mortality was 47.1%) with outcome in case group was not statistically significant (p=0.3854).

The prediction of prognosis in respect to multiple variables like BI, SOFA, CCI, and APACHEII were calculated by regression analysis (Tables 2 & 3).

We found (Table 2) no variable had significant association with outcome in case group i.e in elderly patients. So in elderly patients, no single variable was a significant predictor of mortality.

We found (Table 3) APACHEII score was significant predictor of mortality in control group of patients. So in relatively younger patients, APACHEII score was

Table 2 — Multivariate logistic regression analysis for case (n = 40)									
Term	Odds ratio	95%	CI	Coefficient	SE	Z-Statistic	p-Value		
SOFA	0.7165	0.1419	3.6178	-0.3334	0.8262	-0.4035-	0.6866		
BI	0.8594	0.2054	3.5947	-0.1516	0.7301	-0.2076	0.8356		
CCI	0.5976	0.1246	2.8669	-0.5148	0.8000	-0.6434	0.5199		
APACHEII	0.1089	0.0103	1.1479	-2.2177	1.2019	-1.8452	0.0650		
CI = Confidence Interval; SE = Standard Error									
							( (2)		
Table 3 -	– Multivariat	e logistic	regressi	on analysis	for contro	ol patients.	(n =40)		
Table 3 – Term	– <i>Multivariat</i> Odds ratio	e logistic 95%	regressi Cl	<i>on analysis</i> Coefficient	for contro SE	ol patients. ( Z-Statistic	( <i>n =40)</i> p-Value		
Table 3 – Term SOFA	<ul> <li>Multivariat</li> <li>Odds ratio</li> <li>1.9526</li> </ul>	e logistic 95% 0.1527	c regressi Cl 24.9715	on analysis Coefficient 0.6692	for contro SE 1.3003	ol patients. Z-Statistic 0.5146	(n =40) p-Value 0.6068		
Table 3 – Term SOFA Bl	<ul> <li>Multivariat</li> <li>Odds ratio</li> <li>1.9526</li> <li>0.3232</li> </ul>	<i>e logistic</i> 95% 0.1527 0.0620	c regressi Cl 24.9715 1.6865	on analysis Coefficient 0.6692 -1.1294	for contro SE 1.3003 0.8429	ol patients. ( Z-Statistic 0.5146 -1.3399	(n =40) p-Value 0.6068 0.1803		
Table 3 – Term SOFA BI CCI	<ul> <li>Multivariat</li> <li>Odds ratio</li> <li>1.9526</li> <li>0.3232</li> <li>0.0000</li> </ul>	e logistic 95% 0.1527 0.0620 0.0000	c regressi Cl 24.9715 1.6865 >1.0012	on analysis Coefficient 0.6692 -1.1294 -13.3771	for contro SE 1.3003 0.8429 316.3449	ol patients. Z-Statistic 0.5146 -1.3399 -0.0423	(n =40) p-Value 0.6068 0.1803 0.9663		
Table 3 – Term SOFA BI CCI APACHE II	- <i>Multivariat</i> Odds ratio 1.9526 0.3232 0.0000 0.0275	e logistic 95% 0.1527 0.0620 0.0000 0.0012	c regression Cl 24.9715 1.6865 >1.0012 0.6077	on analysis Coefficient 0.6692 -1.1294 -13.3771 -3.5923	for contro SE 1.3003 0.8429 316.3449 1.5788	<i>Z</i> -Statistic 0.5146 -1.3399 -0.0423 -2.2754	(n =40) p-Value 0.6068 0.1803 0.9663 0.0229		





significant independent predictor of mortality.

#### DISCUSSION

We were prompted to do this study because of increasing number of elderly patients being admitted in CCU and their need for mechanical ventilation during hospital stay. There is also reluctance among physicians to put the elderly patients in mechanical ventilation apprehending development of complications subsequently. So we wanted to see if there is any relationship between the mortality outcome and age of the patients. There are several studies that have described the poor results in elderly patients who were subjected to mechanical ventilation with ages over 65 years,<sup>7</sup> 70 years,<sup>8</sup> 80 years,<sup>9</sup> 85 years,<sup>10</sup> Rosenthal, et al in a multihospital study concluded that the adjusted odds of death increased with each 5-years age increment<sup>11</sup>. Boumendil A, et al in their study concluded that after adjustment for disease severity, ICU mortality rates were higher in elderly patients than in younger populations and age itself explained only a small part of hospital mortality, suggesting that specific information such as functional, cognitive, and nutritional status as well as co-

> morbidities, should be collected to predict mortality in elderly ICU patients<sup>12</sup>. Vosylius et al. had similar observation with 39% mortality in >75 years age group when compared with 18% in those <65 years (p<0.001)<sup>13</sup>. Stein, *et al* in a study concluded that age >76.9 years was an independent determinant of mortality (p<0.001)<sup>14</sup>. De Rooij, *et al* in a meta- analysis concluded that it is not age *per se* but factors such as severity of illness and pre-morbid functional status that are responsible for poor prognosis<sup>15</sup>.

Anon JM, *et al* in a study in Spain, showed that mortality in the ICU was higher in the elderly patients (33.6%) than in the younger subjects (25.9%) (p=0.002)<sup>6</sup>.

In India, a study by Sodhi, *et al*<sup>16</sup> showed that no statistical difference was observed between the control and geriatrics age group in overall ICU mortality (p>0.05). However, mortality rates increased in the geriatric population requiring mechanical ventilation and use of inotropes during ICU stay.

In our study, mortality in elderly case group patients were 55% and mortality of control group patients were 52.5%. The difference was not statistically significant in comparison (p=0.82).

Here we found highest mortality was seen in 66-75 years of age (40.9%) which was higher than older age group( >75 years - 31.8%). But difference of mortality among sub- groups (60-65 years, 66-75 years, ->75years) were not statistically significant (p=0.3357).

In a study by Sudarsanam TD, *et al* at CMCH, Vellore, India, concluded that APACHEII Score measured at admission was one of the independent predictor of mortality<sup>17</sup> in the patients on mechanical ventilator. In a study by Nevins and Epstein<sup>18</sup> also showed that the APACHE II associated comorbidities predicted a poorer outcome for COPD patients requiring mechanical ventilation.

In our study, there is no significant difference in outcome in relation to age and in relation to gender. There is no significant difference in outcome in different subgroup of age of elderly people.

#### CONCLUSION

Mortality of elderly patients supported by mechanical ventilation at CCU were slightly higher (55%) than in mechanically ventilated younger populations (52.5%) though the difference was not statistically significant between two groups (p=0.82). There should not be any reluctance for ventilation initiation for elderly patients for fear of poor outcome. In CCU, APACHE II, score which has a comparatively high sensitivity in predicting mortality, will be useful to guide to the physician on probable outcome and management decision.Co-morbidity should not restrict the decision for ventilation initiation..

**Limitations :** Morbidity profile could not be studied here as it needed a long term follow up. Duration of ventilation is not studied here which could be another determinant factor for outcome. Single Centre, short time, small number of study and control population has the limitation of decision making. To draw a inference multi-centre long term study with large number of population will be needed. It is a score based study, individual organ function related study has not been done.

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